TPPO. 17 AUG 2006 Sheet 1 of 1 INFORMATION DISCLOSURE STATEMENT FORM PTO 1449 (modified) SERIAL 10 / 589814 ATTY DOCKET NO. 2006\_1253A U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE APPLICANT Masahiro TERADA et al. LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) FILING DATE GROUP 1624 Date Submitted to PTO: August 17, 2006 August 17, 2006 U.S. PATENT DOCUMENTS \*FXAMINER DOCUMENT DATE NAME CLASS SUBCLASS FILING DATE IS INITIAL NUMBER APPROPRIATE /B.C./ AA 6.340.753 1/2002 Manuoka Corresponds to AE /B.C./ AR 4.833.245 5/1989 Bruderer et al Corresponds to AF AC FOREIGN PATENT DOCUMENTS DOCUMENT DATE COUNTRY CLASS SUBCLASS TRANSLATION NUMBER YES NO /B.C./ ΑD 2000-198774 7/2000 .IP Abstract \*\* /B.C./ ΑE 2001-048866 2/2001 JP Abstract \*\* /B.C./ ΑF 61-161266 7/1986 JP х AG AH OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.) ΑI H. Y. Aboul-Enein et al., "Synthesis and Biological Activity of Dibenz[c.e]Azepines", Drug Design and Delivery, /B.C./ Vol. 4, No. 1, pp. 27-33, 1989. AJ R. Chinchilla et al., "Enantiomerically Pure Guanidine-Catalysed Asymmetric Nitroaldol Reaction", Tetrahedron: /B.C./ Asymmetry, Vol. 5, No. 7, pp. 1393-1402, 1994. ΑK M. T. Allingham et al., "Synthesis and Applications of C2-Symmetric Guanidine Bases", Tetrahedron Letters, Vol. /B.C./ 44, No. 48, pp. 8677-8680, 2003. AL T. Ooi et al., "Design of N-Spiro C2-Symmetric Chiral Quanternary Ammonium Bromides as Novel Chiral Phase-/R.C./ Transfer Catalysts: Synthesis and Application to Practical Asymmetric Synthesis of α-Amino Acids", JACS Articles, No. 125, No. 17, pp. 5139-5151, 2003. ΑM T. Ooi et al., "Practical Catalytic Enantioselective Synthesis of α,α-Dialkyl-α-Amino Acids by Chiral Phase-/B.C./ Transfer Catalysis", JACS, Vol. 122, No. 21, pp. 5228-5229, 2000.

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Synthesis of a-Amino Acids", JACS, Vol. 121, No. 27, pp. 6519-6520, 1999.

T. Ooi et al., "Molecular Design of a C2-Symmetric Chiral Phase-Transfer Catalyst for Practical Asymmetric

DATE CONSIDERED

12/28/2009

ΑN

/Brenda Coleman/

/B.C./

EXAMINER